

Airtightness of U.S. Dwellings

Max H. Sherman, Ph.D.
Fellow ASHRAE

Darryl J. Dickerhoff

ABSTRACT

Blower doors are used to measure the airtightness and air leakage of building envelopes. As existing dwellings in the United States are ventilated primarily through leaks in the building shell (i.e., infiltration) rather than by whole-house mechanical ventilation systems, quantification of airtightness data is critical in order to answer the following kinds of questions: What is the construction quality of the building envelope? Where are the air leakage pathways? How tight is the building? Tens of thousands of unique fan pressurization measurements have been made of U.S. dwellings over the past decade, and the available data have been collected into an air leakage database. This report documents what is in that database and then uses the data to determine relevant leakage characteristics in the U.S. housing stock in terms of region, age, construction type, and quality.

INTRODUCTION

Virtually all knowledge about the airtightness of buildings comes from field measurements of fan pressurization using blower door technology. Infiltration is the interaction of this envelope tightness with driving forces such as those caused by weather. Blower doors measure airtightness of the building envelope, or equivalently, air leakage. This report summarizes our measured air leakage data for U.S. dwellings.

This report does not intend to cover issues related to the fan pressurization measurements themselves. There exist many measurement standards¹¹ throughout the world, but the two used by ASHRAE Standards relevant to much of the work in North America are the ASTM Standard³ and the Canadian Standard.¹⁰ Issues of measurement uncertainty²⁷ and reproducibility,²⁰ while important, will not be discussed in detail. Both technical⁷ and popular^{4,13} articles are available to famil-

iarize the reader with some of the relevant issues. While these data can be used to produce representative information about the U.S. housing stock,²⁹ the conclusions in this report are not so extrapolated.

This report focuses on single-zone buildings. While fan pressurization techniques are sometimes used for component or multizone leakage measurements, the vast majority of measurements have been made for whole-building, single-zone situations, such as single-family homes. The data summarized herein will deal with single-family homes throughout the United States for a wide variety of vintages, construction types, and conditions.

BACKGROUND

Air leakage data are now used for a wide variety of purposes from the qualitative (e.g., construction quality control) to the quantitative (e.g., envelope tightness standards). As the key envelope property related to airflow, it is used in one form or another for infiltration-related modeling. Given such diverse uses, it is not surprising that it is often treated as a stand-alone quantity, even though air leakage is only an intermediate value.

Before proceeding on to summarize the current measurements, it may be instructive to briefly review the history of fan pressurization measurements and their relationship to airflow modeling. Blower-door technology was first used in Sweden as a window-mounted fan to test the tightness of building envelopes.⁸ The technology was brought to the U.S. by Blomsterberg and used at Princeton (to help find and fix leaks),¹⁶ where it became a blower door.

During this period the diagnostic potentials of blower doors began to become apparent. Blower doors helped to uncover hidden bypasses¹⁷ that accounted for a much greater percentage of building leakage than did the presumed culprits

Max Sherman is a senior scientist and **Darryl Dickerhoff** is a principal research associate with the Energy Performance of Buildings Group, Environmental Energy Technologies Division, Lawrence Berkeley National Laboratory, University of California, Berkeley.

TABLE 1
Normalized Leakage by State

State	Average Normalized Leakage	Standard Deviation	N	State	Average Normalized Leakage	Standard Deviation	N
Alabama	0.85	.33	30	Minnesota	0.38	.21	2
Alaska	1.99	1.16	2830	Missouri	1.64	.45	11
Arizona	0.66	.49	5	Montana	0.14	.11	19
Arkansas	1.95	.98	551	Nevada	0.78	.49	4
California	0.73	.30	253	New Hampshire	1.13	N/A	1
Colorado	0.87	.35	13	New York	0.73	.58	282
Connecticut	0.50	N/A	1	North Carolina	1.48	.86	187
Georgia	1.57	.29	7	Oklahoma	1.12	.70	204
Idaho	0.50	.49	56	Oregon	0.40	.21	79
Illinois	0.66	.60	179	Rhode Island	1.88	.50	6284
Iowa	0.14	.07	2	South Carolina	0.78	.36	2
Indiana	0.39	N/A	1	Vermont	1.56	.55	1186
Maine	0.40	.10	3	Virginia	0.23	.05	2
Massachusetts	0.53	.22	3	Washington	0.44	.24	199
Northeast ^a	1.26	.78	467	Other ^a	0.72	.39	83

^a These homes come from three studies in which the state was not identified: one in the Northeastern States, the other two from the Pacific Northwest and Iowa.

does not include any data from 22 states, although some of these states may be included in the "Other" group.

In examining regional trends, we attempted to use regression techniques to determine if there were any leakage trends with climate, latitude, etc. Our analysis showed no significant trends with these climate-related parameters, indicating the trends in leakage are more dominated by construction quality, local practices, age distribution, etc., than they are by weather. As an example, one can examine more extreme climates, such as Alaska and Vermont, which appear leakier than the mild climates, such as California and Oregon, but other mild climates, such as North Carolina, appear quite leaky.

Any such analysis, however, may be confounded by the fact that the large (Energy Rated Homes) datasets are in general leakier than the rest of the data. This suggests that the other datasets included in our study may be more biased by new, tight, or novel construction. While the authors know of other large datasets, most of these were not accessible or usable for this study. Data, however, continue to be generated in both the public and private sector that could be used in the future to address these issues.

By its very nature, the sample we have collected is not statistically representative of the almost 75 million single-family households in the U.S. Furthermore, different component datasets and measurements are of different qualities and should not be treated equally. Figure 2 demonstrates this fact by showing how unevenly distributed over the range of leakage values our sample is. Having said that, we must realize that

these data represent the best set we could then generate and we shall use it to summarize the important physical characteristics contained in this database. Work continues on extrapolating this dataset to be representative of the U.S. stock.

Table 2, Summary of Leakage Measurements, presents the overall content of the dataset and contains the year of

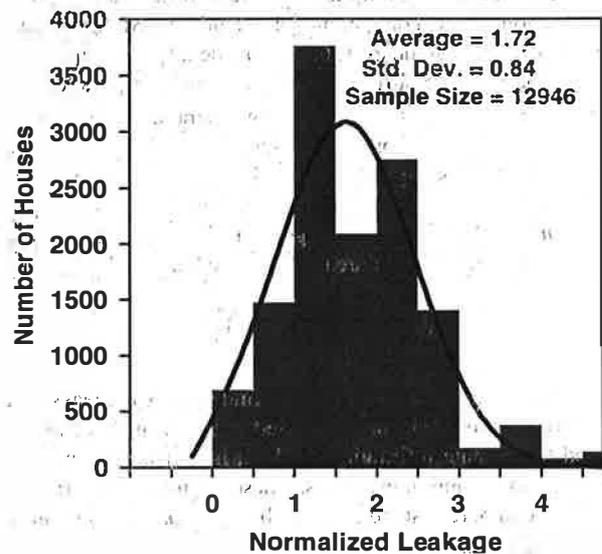


Figure 2 Distribution of leakage measurements by value.

TABLE 2
Summary of Leakage Measurements

	Mean	Std Dev.	Number of Houses	Min.	Max.
Year Built	1965	24.2	1492	1850	1993
Floor Area [m ²]	156.4	66.7	12946	37	720
Normalized Leakage	1.72	0.84	12946	0.023	4.758
ACH ₅₀	29.7	14.5	12902	0.47	83.6
Exponent	0.649	0.084	2224	0.336	1.276

construction, the size of the dwellings, and several variables relating the leakage information. We have chosen two ways of expressing air leakage (ACH₅₀ and NL) because they are the two most commonly in use in practice or in standards; the exponent and year built are important diagnostic and comparative tools as we see below; the floor area was chosen as the size normalizing parameter because it is used in the ASHRAE standards.

We can use the dataset to see if there is a useful correlation between the two ways of quantifying leakage. The average ratio between ACH₅₀ and NL is 17.5, with a standard deviation of 2.3, indicating that a 13% extra uncertainty (in the form of a bias) can be introduced when converting directly between these two quantities. Equation 7 (see Appendix A) suggests that these two quantities are directly related and there should be no need for comparing them. While there is a general relationship, it varies with the quantities, such as the exponent and building height, thus creating the extra 13% uncertainty. In general we will use normalized leakage rather than air changes at 50 Pa to make our leakage comparisons.

The leakage values in Table 2 are averages of pressurization and depressurization values whenever both existed. One question that has often been posed is whether or not there is a significant difference between the two. Figure 3 is a plot of their fractional difference. The outliers are principally from very tight houses in which the absolute difference was small but the percentage difference was quite large. We analyzed all of the cases in which both were measured and found that, of the 280 usable measurements, pressurization tests reported 9% higher leakage on average than did depressurization. As the error of the mean was 2%, this difference is significant. The 9% value was calculated from the normalized leakage values. We repeated the analysis using the air changes at 50 Pa and found the same trend but a larger (i.e., 12%) value and a narrower distribution.

This result suggested that there might be a difference in exponent between pressurization and depressurization, but our analysis shows that there was no statistically significant difference. Figure 4 shows the general distribution of exponents and they appear quite clustered, even though there were many nonphysical outliers. The average exponent for the more than 1900 measurements that reported exponents is 0.65 with a standard deviation of 0.08; multiple measurements on the same house were treated as independent.

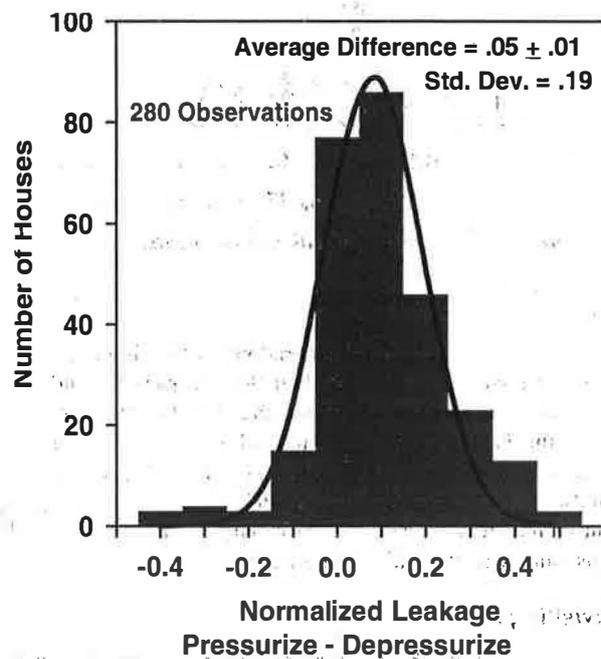


Figure 3 Probability distribution of the difference between pressurization and depressurization.

We examined the dataset in some detail to look at five building criteria that may impact leakage: number of stories, year of construction, floor/basement type, thermal distribution system, and retrofitting. We discuss below the impact of each of these factors.

Number of Stories

Most of the U.S. Housing stock is in one- and two-story, single-family dwellings. We looked at the entire dataset to determine if that difference in construction type affects the leakage. Approximately 56% of our measurements are of multistory dwellings. We find that multistory houses are .11% leakier (i.e., NL=1.8) than single-story houses (i.e., NL=1.6) with an error of the mean near 1%. This value is, therefore, statistically significant, and we can conclude that there is a difference between single- and multiple-storied dwellings.

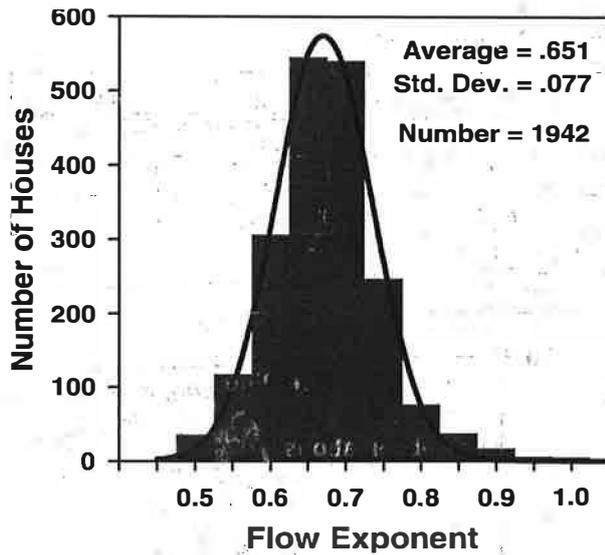


Figure 4 Distribution of leakage exponents.

Floor/Basement Type

We restricted our consideration of this issue to two classes: those dwellings that had floor leakage to outdoors (i.e., crawl space homes and unconditioned basements) and those that had no floor leakage to outdoors (i.e., slab-on-grade and fully conditioned basement homes). The vast majority (80%) of our dataset had floor leakage (at $NL=1.75$). The subset that did not was slightly (5%) tighter (at $NL=1.64$) and this value was statistically significant.

Dwelling Age

We examined the data for which year of construction was available to see if there were leakage trends correlating to the age of the dwelling. Examining the data in detail we found a break point at the year 1980. Figure 5 is a plot of average NL (and standard deviation) for various house age bins for those houses where the year of construction was known. The bin spacing is irregular in an attempt to improve bin-size distribution and to respond to the grouping of the data. The 628 houses built after 1980 did not show any trend with age and were tighter ($NL=0.47$) than average. The 869 houses built prior to 1980 showed a clear increase in leakage with increasing age and were, on average, leakier ($NL=1.05$) than new houses but still tighter than the average of the entire dataset ($NL=1.72$).

Thermal Distribution System

Because of the current interest in the efficiency of residential thermal distributions systems, we analyzed those homes (about 11% of the total sample) where there was knowledge about the existence (or absence) of a duct system. The surprising result was that the homes with duct systems (43% of this subset) were tighter ($NL=0.7$) than those homes that did not have duct systems ($NL=0.9$). Where duct systems were

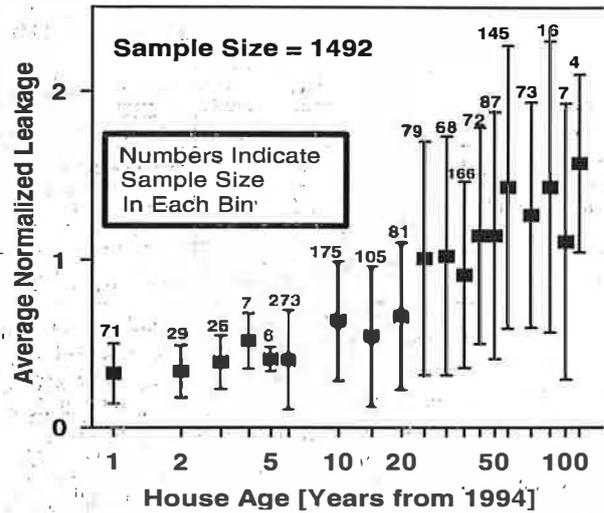


Figure 5 Plot of normalized leakage vs. age (base year 1994). Fit lines are best fits to data with an exclusive knot at 1980 (i.e., 14-years-old).

measured separately (about 1% of the total sample), they accounted for just under 30% of the total leakage—a finding consistent with other studies.

Retrofitting

A (465 house) subset of the houses were measured as part of retrofit or weatherization projects and had measurements both before and after the retrofits were done. Figure 6 shows the distribution of retrofit impacts on the normalized leakage. From these measurements we found that the average retrofit reduced the leakage by about 25% (from $NL=1.34$ to $NL=0.99$ with the error of the mean difference being $NL=0.03$).

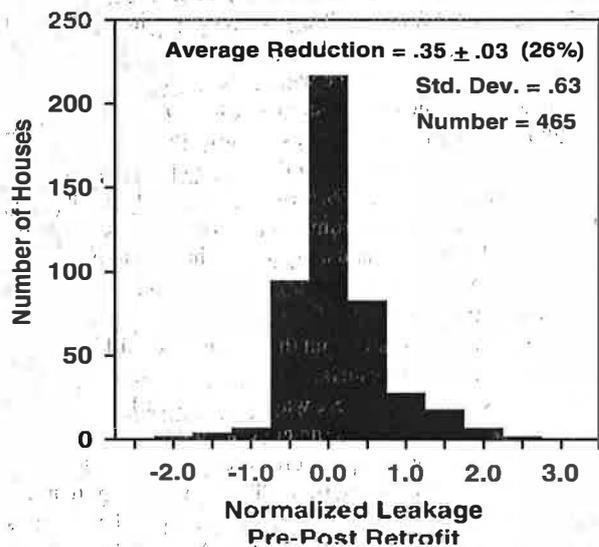


Figure 6 Distribution of leakage changes due to retrofits.

CONCLUSIONS

The first significant finding is that dwellings appear to be even leakier than previously estimated. This current analysis includes large datasets that represent much more comprehensive cross sections of ordinary homes in particular locations (e.g., Rhode Island, Alaska, Vermont, etc.) than had been previously studied. Although not spread evenly around the country, these more intensive studies suggest that our previous leakage estimates were biased toward tighter housing, probably because more energy-efficient houses have been studied in detail.

Unlike the fields for leakage, floor/basement type, the number of stories, the impact of ducts, the effect of retrofits, and year of construction information is available on only subsets of the data. Furthermore, these subsets themselves appear to be tighter than the dataset as a whole, probably reflecting the fact that in the larger, broader studies, less information was recorded and the detailed studies probably tended to be on better (or newer) construction. Future studies should endeavor to do internal controls to try to ascertain whether such factors could bias the results.

We examined the data subsets in many ways and looked at distributions of various quantities. In almost every distribution at which we looked, there were more outliers than would be expected from a normal distribution; some of them were nonphysical and were induced, most likely by measurement problems such as weather effects or mismatches between equipment capacities and dwelling conditions. Outliers may also be caused by data entry errors. Outlier studies can provide useful insight into physical effects present in special subsets. Care must be taken when trying to make certain extrapolations to the population because some statistical quantities, such as percentile estimates or kurtosis, may be significantly biased

by the non-Gaussian nature of the population. The simple averages taken in this report, however, are reasonably robust and not very sensitive to such effects.

Our earliest study²⁸ had indicated that approximately half the U.S. would meet ASHRAE's airtightness standard.³ This dataset has less than 10% of the country meeting that standard. We have recently completed a new study²⁹ that uses these leakage data and other datasets to extrapolate residential ventilation performance to the existing stock in a statistically meaningful way. The reader should consult that report to see the regional impacts of air leakage and ventilation system choices on the ventilation, energy, and economics related to house tightness.

ACKNOWLEDGMENTS

The authors would like to acknowledge the contributions of leakage and related data made by individuals and organizations. Table 3, List of Data Contributors Used in This Report, includes those sources for which data were included in our analysis.

The data presented here represent a small fraction of the total air leakage measurements taken, and it is hoped that further sources will be developed. While we have been contacted by individuals and organizations offering data sources, we are not now actively updating this database.

NOMENCLATURE

- A = stack coefficient [-]
- A_f = building floor area [m²]
- ACH = air change rate (ach) [h⁻¹]
- ACH_{50} = air change rate at 50 Pa pressure difference (ach) [h⁻¹]

TABLE 3
List of Data Contributors Used in This Report

Contributor	Institution	Region
Ron Hughes, Evan Brown	Energy Rated Homes of America	Alaska, Arkansas, Rhode Island, and Vermont
Kenneth Wiggers	American Radon Services,	Iowa
Mark Ternes	Oak Ridge National Lab	Northeastern States, and Oklahoma
Terry Sharp	Oak Ridge National Lab	North Carolina
Rose Girer-Wilson	University of Illinois	Illinois
Bill Levins	Oak Ridge National Lab	Northeastern States
Larry Palmiter & Tami Bond	Ecotope	Pacific Northwest
Bruce Wilcox	Berkeley Solar Group	California
Victor Espanosa	Las Angeles Dept. of Water & Power	California
Peter Strunk	Synertech	New York
Bob Carver, Bob Kelly	New York State ERDA	New York
Matson, Jump, Modera	Lawrence Berkeley Labs	California
Liddament et al.	Air Infiltration and Ventilation Centre	U.S. Wide

B	= wind coefficient [-]
C	= generalized shielding coefficient [-]
C_p	= heat capacity of air [1.022 kJ/kg·K]
E	= annual energy load [kJ]
ELA	= effective leakage area [m ²]
f_s	= stack factor [(m/s)(K) ^{1/2}]
f_w	= wind factor [-]
g	= gravity [9.8 m/s ²]
H	= building height [m]
HI	= inside enthalpy [kJ/kg]
HO	= outside enthalpy [kJ/kg]
IDD	= infiltration degree days [°C·day]
n	= power-law exponent [-]
N	= number of hours [h]
NL	= normalized leakage area [-]
P	= pressure [Pa]
Q	= airflow rate [m ³ /s]
R	= fraction of total leakage area in the floor and ceiling [-]
s	= specific infiltration [m/s]
s_o	= average specific infiltration [0.71 m/s]
ΔT	= inside-outside temperature difference [°C]
T_o	= absolute temperature [298 K]
κ	= leakage coefficient [m ³ ·s/Pa ⁿ]
v	= measured wind speed [m/s]
X	= difference in ceiling/floor fractional leakage area [-]
w	= air change rate factor accounting for effect of local weather (m/s) [†]
ρ	= density of air [1.2 kg/m ³]
[h]	= indicates hourly value

REFERENCES

- ¹ *Thermal Insulation and Airtightness Building Regulations*, Chapter 53, Royal Ministry of Local Government and Labour, Norway, 27 May 1987.
- ² 1989 *ASHRAE Handbook—Fundamentals*, Chapter 24, American Society of Heating, Refrigerating and Air-conditioning Engineers, 1989.
- ³ *ANSI/ASHRAE Standard 119, Air Leakage Performance for Detached Single-Family Residential Buildings*, American Society of Heating, Refrigerating and Air-Conditioning Engineers, 1988.
- ⁴ *ANSI/ASHRAE Standard 136, A Method of Determining Air Change Rates in Detached Dwellings*, American Society of Heating, Refrigerating and Air-Conditioning Engineers, 1993.
- ⁵ *ASTM Standard E779-87, Test Method for Determining Air Leakage by Fan Pressurization*, *ASTM Book of Standards*, American Society of Testing and Materials, Vol. 04.07, 1991.
- ⁶ *ASTM Standard E1186-87, Practices for Air Leakage Site Detection in Building Envelopes*, *ASTM Book of Standards*, American Society of Testing and Materials, Vol. 04.07, 1991.
- ⁷ *ASTM STP 1067, Air Change Rate and Airtightness in Buildings*, M.H. Sherman, ed., American Society of Testing and Materials, 1990.
- ⁸ A. Blomsterberg, "Air Leakage in Dwellings" Dept. Bldg. Constr. Report No. 15, Swedish Royal Institute of Technology, 1977.
- ⁹ G. E. Caffey, "Residential Air Infiltration," *ASHRAE Transactions*, Vol. 85, pp. 41-57, 1979.
- ¹⁰ *CGSB Standard 149, Determination of the Airtightness of Building Envelopes by Fan Depressurization Method*, Canadian General Standards Board, 1986.
- ¹¹ K. Colthorpe, "A Review of Building Airtightness and Ventilation Standards," TN 30, Air Infiltration and Ventilation Centre, UK, 1990.
- ¹² R.C. Diamond, J.B. Dickinson, R.D. Lipschutz, B. O'Regan, and B. Schole, "The House Doctor's Manual," Lawrence Berkeley Laboratory Report PUB-3017, 1982.
- ¹³ *Energy Design Update*, N. Nissan, ed., Vol. 4, No. 4, 1985.
- ¹⁴ *Home Energy*, A.K. Meier, ed., Vol. 11, No. 1, pp. 25-37, 1994.
- ¹⁵ D.T. Harrje, "Building Envelope Performance Testing," *ASHRAE Journal*, March, pp. 39-41, 1981.
- ¹⁶ D.T. Harrje, A. Blomsterberg, and A. Persily, "Reduction of Air Infiltration Due to Window and Door Retrofits," CU/CEES Report 85, Princeton University, 1979.
- ¹⁷ D.T. Harrje, G. S. Dutt, and J.E. Beya, "Locating and Eliminating Obscure but Major Energy Losses in Residential Housing," *ASHRAE Transactions*, Vol. 85 (II), pp. 521-534, 1979.
- ¹⁸ D.T. Harrje and G. S. Dutt, "House Doctors Program: Retrofits in Existing Buildings," *Proc. 2nd AIVC Conference*, pp. 61-72, 1981.
- ¹⁹ M. Limb, *AIRGUIDE: Guide to the AIVC's Bibliographic Database*, Air Infiltration and Ventilation Centre, AIC-TN-38-1992, 1992.
- ²⁰ W.E. Murphy, D.G. Colliver, and L.R. Piercy, "Repeatability and Reproducibility of Fan Pressurization Devices in Measuring Building Air Leakage," *ASHRAE Transactions*, Vol. 97 (II), 1991.
- ²¹ M.H. Sherman, "A Power Law Formulation of Laminar Flow in Short Pipes," *J. Fluids Eng.*, Vol. 114, No. 4, pp. 601-605, 1992.
- ²² M.H. Sherman, "Estimation of Infiltration from Leakage and Climate Indicators," *Energy and Buildings*, 1987.
- ²³ M.H. Sherman, "Exegesis of Proposed ASHRAE Standard 119: Air Leakage Performance for Detached Single-Family Residential Buildings," *Proc. BTECC/DOE Symposium on Guidelines for Air Infiltration, Ventila-*

[†] Note that in ASHRAE Standard 136 the units are expressed in air changes per hour. For a single-story structure, the conversion factor between ach and m/s is 1.44.

tion, and Moisture Transfer, Fort Worth, Tex., December 2-4, 1986. Lawrence Berkeley Laboratory Report No. LBL-21040, July 1986.

- ²⁴M.H. Sherman, "Infiltration Degree-Days: A Statistic for Infiltration-Related Climate," *ASHRAE Transactions*, Vol. 92 (II), 1986. Lawrence Berkeley Laboratory Report LBL-19237, April 1986.
- ²⁵M.H. Sherman and D.T. Grimsrud, "The Measurement of Infiltration using Fan Pressurization and Weather Data," *Proceedings, First International Air Infiltration Centre Conference, London, England*. Lawrence Berkeley Laboratory Report LBL-10852, October 1980.
- ²⁶M.H. Sherman and M.P. Modera, "Infiltration Using the LBL Infiltration Model," *Special Technical Publication No. 904, Measured Air Leakage Performance of Buildings*, pp. 325 - 347. ASTM, Philadelphia, Penn., 1984; Lawrence Berkeley Laboratory.
- ²⁷M.H. Sherman and L.E. Palmiter, "Uncertainties in Fan Pressurization Measurements." *Special Technical Publication of ASTM, Air Flow Performance of Building Envelopes, Components and Systems* (In Press), LBL-32115, 1994.
- ²⁸M.H. Sherman and N.E. Matson, "Ventilation-Energy Liabilities in U.S. Dwellings," *Proc. 14th AIVC Conference*, pp. 23-41, 1993. LBL Report No. LBL-33890, 1994.
- ²⁹M.H. Sherman and N.E. Matson, "Residential Ventilation and Energy Characteristics," *ASHRAE Transactions* Vol. 103 (2), 1997. LBL Report No. LBL-39036.
- ³⁰M.H. Sherman and D.J. Wilson, "Relating Actual and Effective Ventilation in Determining Indoor Air Quality," *Building and Environment*, 21(3/4), pp. 135-144, 1986. Lawrence Berkeley Report No. 20424.
- ³¹M.H. Sherman, "The Use of Blower Door Data," *Indoor Air* (In Press), 1994. Lawrence Berkeley Laboratory Report No. 35173.
- ³²R.C. Sonderegger, J.Y. Garnier, and J.D. Dixon, "Computerized, Instrumented, Residential Audit (CIRA)," Lawrence Berkeley Lab Report No. PUB-425, 1981.

APPENDIX A

LEAKAGE MODELING

Blower doors can generate sets of fan flow, house pressure pairs. Empirically, these data can be expressed as a power law:²¹

$$Q_f = \kappa P_f^n \quad (3)$$

For ease of use and understanding, this two-parameter characterization of flow is reduced to the one-parameter characterization of the effective leakage area of an orifice:

$$Q_f = ELA \cdot \sqrt{\frac{2P_f}{\rho}} \quad (4)$$

If we assume that these two expressions characterize the flow at some reference pressure, P_r , then we calculate ELA from the blower door data:

$$ELA = \kappa P_r^{n-1/2} \cdot \sqrt{\frac{\rho}{2}} \quad (5)$$

which leads to

$$Q_f = ELA \cdot \sqrt{\frac{2P_r}{\rho}} \cdot \left(\frac{P_f}{P_r}\right)^n \quad (6)$$

While 10 Pa is sometimes used as the reference pressure in Canada, ASHRAE Standards and Handbooks normally use 4 Pa for the reference pressure. Accordingly, 4 Pa has been used as the reference pressure throughout this report.

The effective leakage area, ELA , quantifies the absolute size of the openings in the building and for the LBL infiltration model is determined by summing the respective component leakage areas of a specific building. A better measure of the relative tightness, however, is the normalized leakage as defined in ASHRAE Standard 119³ as displayed in Equation 2. If we combine this expression with Equation 6, we find that for typical conditions found in a single-story situation ($\rho = 1.2 \text{ kg/m}^3$; $n = 2/3$, $H = 2.5 \text{ m}$):

$$NL = \frac{ACH_{50}}{20} \quad (7)$$

where ACH_{50} is the air leakage induced by a 50 Pa pressure from blower door operation divided by the house volume.