THE VARIABILITY OF TEST RESULTS WHEN ASSESSING THE RESISTANCE OF WINDOWS TO WATER AND AIR PENETRATION USING BS4315 by J F S Carruthers and C J Newman Princes Risborough Laboratory of the Building Research Establishment, UK

INTRODUCTION

The investigation was undertaken to assess the variability of windows tested to British Standard 4315:Part 1:1968, Methods of test for resistance to air and water penetration: windows and gasket glazing systems. Since the issue of this Standard, it has been widely used as a means of specifying the performance of windows for use in the UK. However, the variability of this test method had not been examined systematically.

The programme was intended to assess variability in both water and air tests from five causes:

Different designs of window Differences between individual windows of the same type Different pressure test boxes Different test operators The residual variability inherent in the test after excluding all other factors

THE WINDOWS TESTED

One hundred and thirty windows, approximately 1.2 m square and of the five types described in Table 1, were tested.

THE PRESSURE TEST BOXES

Three different pressure boxes were used, though the majority of the tests were carried out on two. Two skilled operators were involved.

RESULTS

The main results for air penetration are shown in Tables 2 and 3 and for water penetration in Tables 4 and 5.

CONCLUSIONS

The test programme has shown that considerable variation occurs in the testing of the air and water penetration attributes of windows to BS 4315:Part 1.

With both water and air penetration there was no single source of the wide variation found in the test results. Indeed, the differences between individual windows of a type, between boxes, between operators and associated with the test procedure as such appeared to contribute to a similar extent to the total variation experienced.

THE IMPLICATIONS OF THE TEST PROGRAMME FOR THE SPECIFICATION OF AIR AND WATER PENETRATION

With the range in variation found in the test programme, it is not possible to predetermine without an extensive background of test results the variation that could occur with a particular design and type of window. As a result, it becomes difficult to set realistic performance levels for specification and procurement purposes. One approach is simply to assume a maximum variation and apply it to all windows in setting performance levels. This approach, however, could penalise windows giving a consistent performance, especially in the case of water penetration. A second alternative is to adopt a two-stage statistical procedure that can accommodate the variation associated with the actual group of windows tested.

Table 1 Details of windows examined

	Туре			Number obtained for testing	
Designation	Description	Material and finish	Draught stripping		
IA	Horizontal slider in timber sub-frame One opening light one fixed light	Aluminium mill finish	Brush and neo- prene seals	30	
IB	Horizontal slider in timber sub-frame One opening light one fixed light	Aluminium mill finish	Brush seal	5	
II	Side-hung casement in timber sub-frame One opening light one fixed light Weather bar at head	Aluminium mill finish	Neoprene seal	40	
III	Vertical slider in timber sub-frame Two sliding lights	Aluminium mill finish	Brush and neo- prene foam seal	20	
IV	Side-hung casement and vent light Two opening lights one fixed light Weather bar at head	Timber Gloss paint finish	None	30	
V	Side-hung casement and vent light in timber sub-frame Two opening lights one fixed light Weather bar at head	Steel Gloss paint	None	6	

Table 2 Air penetration at 100 Pa of windows tested using two boxes and two operators

Type designation	Number of windows tested	Mean air penetration (m³/hm)*	Standard deviation (m ³ /hm)*	Range within which 95% of window tests occur (m ³ /hm)*
IA	30	3.6	1.6	$\begin{array}{rrr} 0.4 - & 6.8 \\ 10.2 - & 17.4 \end{array}$
IB	5	13.8	1.8	
II	40	2.2	1.3	0 - 4.8
III	20	11.1	1.6	7.9 - 14.3
IV (a) whole window	30	7.9	3.2	$ \begin{array}{r} 1.5 - 14.3 \\ 0.3 - 17.1 \\ 0.2 - 12.6 \end{array} $
(b) side-hung casement only	30	8.7	4.2	
(c) vent light only	30	6.4	3.1	
V (a) whole window	6	23.8	3.8	$ \begin{array}{r} 16.2 - 31.4 \\ 16.0 - 34.0 \\ 13.6 - 30.0 \end{array} $
(b) side-hung casement only	6	25.0	4.5	
(c) vent light only	6	21.8	4.1	

*The rate of air penetration is expressed at m³/h per metre length of opening light

Table 3 Source and extent of variation in the air penetration of windows

	Source and extent of variation							
	Between	Between windows		two box/ ombinations	Associated with test procedure			
Type designation	Number of windows examined	Standard deviation (m³/h m)	Number of windowsDifference in mean value (m³/h m)		Number of windows examined	Standard deviation (m ³ /hm)		
IA IB	20 5	0.9 1.3	10 -	1.3	20 5	1.3 0.8		
II	20	1.3	10	1.3	20	0.8		
III	20	0.9	10	1.4	20	1.1		
IV (a) whole window (b) side-hung casement only (c) vent light only	20 10 10	2.1 3.5 2.7	10 10 10	1.3 3.3 2.0	20 10 10	1.3 0.8 0.9		
 V (a) whole window (b) side-hung casement only (c) vent light only 	6 6 6	*			6 6	2.5 3.7		

*No statistically significant difference at the 5% level -No test carried out

Table 4	Water penetration ((pressure at whic	1 'gross	' leakage	occurred)	tests on	windows	using two	boxes and	two
	operators									

Type designation	Number of windows tested	Mean pressure for 'gross' leakage (Pa)	Standard deviation (Pa)	Range within which 95% of window tests occur (Pa)
IA IB	30	160 190	55 22	50 - 270 146 - 234
11 11	40	360	241	0 - 842
	20	630	184	262 - 998
IV (a) whole window	30	200	102	0 - 404
(b) side-hung casement only (c) yent light only	30	230	101	28 - 432 0 - 828
V (a) whole window		260	22	216 - 304
(b) side-hung casement only (c) vent light only	5	280 280 260	27	210 - 304 246 - 314 216 - 304

Table 5 Source and extent of variation in water penetration of windows

	Source and extent of variation								
	Between windows		Between tw	Between two operators		Between two boxes		Associated with test procedure	
Type designation	Number of windows	Standard deviation (Pa)	Number of windows	Difference in mean value (Pa)	Number of windows	Difference in mean value (Pa)	Number of windows	Standard deviation (Pa)	
IA IB	6 5	10 19	10	*(1) -	10	*(1)	6 5	29 25	
11	5	253	10	*(1)	10	*(1)	5	209	
III	5	70	8	63	8	144	5	125	
IV (a) whole window (b) side-hung casement only (c) vent light only	5 5 5	* * 89	5 5 5	80 130 * 3	5 5 5	* 40 95	5 5 5	97 50 114	
V (a) whole window (b) side-hung casement only (c) vent light only	5 5 5	23 22 23	-		-		5 5 5	24 20 24	

*No statistically significant difference at the 5% level -No test carried out

(1) For window types IA and II differences between operators and between boxes were not isolated. The result given for the window types refers to the difference between two box/operator combinations.

SUGGESTED TEST PROCEDURES

It is suggested that the criteria for acceptance should be such that there is a 75 per cent chance that the group of windows tested is drawn from a population of which 95 per cent of the windows have a higher test result than the specified level. It can be shown² that this criterion is met when the mean of five results is more than 2.46 times the standard deviation of those 5 results above the specified level. With fewer test samples the multiplying factor is increased, for example for four windows it is 2.68, and for more test samples the multiplying factor is decreased, for example for 6 windows it is 2.33. The use of five samples is suggested in this paper as being appropriate taking into account, on the one hand, the need to minimise the cost incurred by testing and on the other, the benefits obtained from testing a larger number of windows.

If the five windows tested fail this initial coarse assessment, it is permissible to examine another five windows. The tests on these ten windows are then repeated to remove the effect of the variability of the test procedure and the mean result when reduced by 2.1 standard deviations should then be above the specified level for a 'pass' to be accepted.

The proposed method is detailed and an example of the calculation for the water penetration attribute is given in the Appendix to this paper.

REFERENCES

- 1 The repeatability and reproduceability of test results on windows and wall span elements and the expected results. By J F S Carruthers and C J Newman. BRE Current Paper CP 49/77.
- 2 A note on performance testing and use of statistics. By G Christensen, Danish Building Research Institute. Paper 10/10, CIB W60, Oslo, March 1977.

SUGGESTED ASSESSMENT PROCEDURE FOR AIR AND WATER PENETRATION TESTS Stage 1 Calculation of characteristic test performance from results of testing five windows once each.

Let
$$x_1 x_2 \dots x_5$$
 be individual results
the mean $\overline{x} = \frac{\sum x}{5}$
and standard deviation $s = \sqrt{\frac{\sum (x^2) - \frac{(\sum x)^2}{5}}{4}}$

With a 75 per cent chance that this group of windows is drawn from a population of which 95 per cent of the windows have a higher or lower test result than the specified level then:

the characteristic test performance = $\overline{x} \pm 2.46s$

For example if five windows gave the following results for the water penetration test:

$$150 \quad 200 \quad 150 \quad 200 \quad 250 \text{ Pa}$$

$$\Sigma x = 950, \overline{x} = 190 \text{ and } \Sigma(x^2) = 187500$$

$$s = \sqrt{\frac{187500 - \frac{950^2}{5}}{4}} = 41.8$$

Therefore characteristic water test performance = $190 - (2.46 \times 41.8) Pa$ = 87 Pa

If the specification pass level is 50 Pa the windows would pass. However if it was 100 Pa they would just fail and stage 2 of the assessment procedure could be invoked especially as the characteristic water test performance approaches the pass level required.

Stage 2 Calculation of characteristic test performance from results of testing ten windows twice each:

Let $x_{1,1}$ $x_{1,2}$ $x_{1,10}$ be first test results and let $x_{2,1}$ $x_{2,2}$ $x_{2,10}$ be second test results

Then to use the analysis of variance techniques to identify the window variance:

- (a) square each test result and sum, ie $\Sigma(x^2)$
- (b) add together two test results for each window, square these totals, sum the squares and divide by 2, ie

$$\frac{(x_{1.1} + x_{2.1})^2 + (x_{1.2} + x_{2.2})^2 + \cdots + (x_{1.10} + x_{2.10})^2}{2}$$

(c) add together all twenty test results, square this total and divide by 20 ie

$$\frac{(\sum x)^2}{20}$$

s_t² = $\frac{(a) - (b)}{10}$

Then test variance

As the variance between results for different windows

$$2s_{w}^{2} + s_{t}^{2} = \frac{(b) - (c)}{9} \text{ where } s_{w} \text{ is the window variance}$$

then window variance alone $s_{w}^{2} = \frac{(b) - (c)}{9} - \frac{(a) - (b)}{10}$
and characteristic performance now $= \overline{x} \pm 2.1 s_{w}$

For example if two water penetration tests on each of ten windows give the following results

Ist test
 I 50
 200
 I 50
 200
 250
 I 50
 I 50
 200
 I 50
 200 Pa

 2nd test
 150
 150
 200
 200
 200
 200
 100
 150
 100 Pa

 then (x₁ + x₂)
 300
 350
 350
 400
 450
 350
 250
 350
 300 and
$$\bar{x}$$
 = 170 Pa

(a)
$$\Sigma(x^2) = 605\ 000$$

(b) $\Sigma \frac{(x_1 + x_2)^2}{2} = 592\ 500$
(c) $\frac{(\Sigma x)^2}{20} = 578\ 000$
Therefore test variance $s_t^2 = \frac{605\ 000\ -\ 592\ 500}{10} = 1250$

As variance between results for different windows

$$2s_w^2 + s_t^2 = \frac{592\ 500\ -\ 57\ 800}{9} = 1611.1$$

iance alone $s_w^2 = \frac{1611.1\ -\ 1250}{9} = 180$

Then window variance alone
$$s_w^2 = \frac{101111 - 1250}{2}$$

and
$$s_w = 13.4$$

Therefore characteristic performance = $170 - (2.1 \times 13.4)$ = 142 Pa

The windows now pass the 100 Pa specified level.